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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	09/493,984
Filing Date	January 28, 2000
First Named Inventor	Eisenbart, Robert S.
Art Unit	2766
Examiner Name	
Attorney Docket Number	018926-003220US

U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
<i>MSA</i>	AA	US-6,185,678 B1	02-06-2001	Arbaugh, et al.	
	AB	US-			
	AC	US-			
	AD	US-			
	AE	US-			
	AF	US-			
	AG	US-			
	AH	US-			
	AI	US-			
	AJ	US-			

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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
<i>MSA</i>	AK	Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	AL	EP	0 601 500	A1	06-15-1994	Testdrive Corporation		
	AM	PCT	WO 97/25798	A1	07-17-1997	The Mitre Corporation		
	AN							

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
<i>MSA</i>	AO	ARBAUGH, William A., et al., A Secure and Reliable Bootstrap Architecture, Proceedings of the IEEE, December 2, 1996, pp. 1-7.	
	AP		

Examiner Signature	<i>Michael J. Sinitich</i>	Date Considered	10/2/03
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

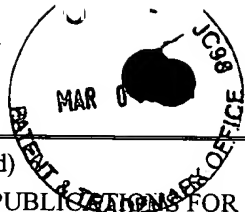
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O I P E

FORM PTO-1449 (Modified) JUL 1 6 2001		Attorney Docket No.: 18926-003220US		Application No.: 09/493,984	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Applicant: Robert S. Eisenbart et al.			
		Filing Date: January 28, 2000		Group: 2766	
Reference Designation		U.S. PATENT DOCUMENTS			
Examiner Initial	Document No.	Date	Name	Class	Filing Date (If Appropriate)
MPJ AA	5,005,200	4/1991	Fischer	380	30
FOREIGN PATENT DOCUMENTS					
	Document No.	Date	Country	Class	Translation (Yes/No)
MPJ AB	EP 0 914 001 A1	06.05.1999	EPO	H04N	7/16
MPJ AC	EP 0 936 813 A1	18.08.1999	EPO	H04N	7/00
MPJ AD	WO 98/56180	10.12.98	WIPO	H04N	7/167
MPJ AE	WO 99/09743	25.02.99	WIPO	H04N	7/167
MPJ AF	WO 99/53624	21.10.99	WIPO	H04B	1/69
EXAMINER <i>Michael J. Juntado</i> DATE CONSIDERED <i>10/1/03</i>					

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Reference Designation		U.S. PATENT DOCUMENTS			
Examiner Initial	Document No.	Date	Name	Class	Sub-class Filing Date (If Appropriate)
<i>MJA</i> AA	5,844,986	12/1/98	Davis	380	4
<i>MJA</i> AB	5,912,972	6/15/99	Barton	380	
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	Document No.	Date	Country	Class	Sub-class Translation (Yes/No)
<i>MJA</i> AC	EP 0 752 786 A1	08.01.97	EPO	H04N	7/167
<i>MJA</i> AD	EP 0 909 094 A1	14.04.99	EPO	H04N	7/173
<i>MJA</i> AE	EP 0 946 019 A1	29.09.99	EPO	H04L	9/32
<i>MJA</i> AF	WO 98/55910	10.12.98	PCT	G06F	
<i>MJA</i> AG	WO 99/30217	05.08.99	PCT	H04N	7/167
<i>MJA</i> AH	WO 99/39504	05.08.99	PCT	H04N	7/167
<i>MJA</i> AI	WO 00/04727	27.01.00	PCT	H04N	7/24
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)					
<i>MJA</i> AJ	Dan S. Wallach et al., "Extensible Security Architectures for Java," BNS Document ID XP-002101681, 1997, pp. 1-26.				
<i>MJA</i> AK	Charles Crichton et al., "When to trust mobile objects: access control in the Jini™ Software System," BNS Document ID XP-002155686, 1999. pp. 116-125.				
EXAMINER	<i>Michael J. Simatelo</i> DATE CONSIDERED <i>10/1/03</i>				

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Reference Designation		U.S. PATENT DOCUMENTS			Page 1
Examiner Initial	Document No.	Date	Name	Class	Sub-class
<i>MDS</i> AA	5,982,891	11/1999	Ginter et al.	380	4
AB					
AC					
AD					
AE					
FOREIGN PATENT DOCUMENTS					
	Document No.	Date	Country	Class	Sub-class
AF					
AG					
AH					
AI					
AJ					
AK					
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)					
<i>MDS</i> AL	Olin Sibert et al., "DigiBox: A Self-Protecting Container for Information Commerce," Proceedings of the First USENIX Workshop on Electronic Commerce, New York, July 1995.				
<i>MDS</i> AM	InterTrust Technologies Corporation, "A Piece of the Tick. Supporting the Commercial Redistribution of Electronic Information through Value Chains," October 1998.				
<i>MDS</i> AN	"Digital Consumer Electronics Handbook," McGraw-Hill, 1997, pp. 17.18-17.25.				
<i>MDS</i> AO	"SentinelSuperPro," Rainbow Technologies. Retrieved from the Internet: http://sentinel.rainbow.com , pp. 1-2.				
<i>MDS</i> AP	"iKey," Rainbow Technologies. Retrieved from the Internet: http://ikey.rainbow.com , pp. 1-2.				
<i>MDS</i> AQ	Monica Pawlan et al., "Signed Applets, Browsers, and File Access." Retrieved from the Internet: http://developer.java.sun.com/developer/technicalArticles/Security/Signed/index.html , April 1998.				
<i>MDS</i> AR	Monica Pawlan, "Cryptography: The Ancient Art of Secret Messages." Retrieved from the Internet: http://developer.java.sun.com/developer/technicalArticles/Security/Crypto/index.html , Feb. 1998.				
<i>MDS</i> AS	Monica Pawlan, "Is the Only Really Secure Computer a Disconnected Computer?" Retrieved from the Internet: http://developer.java.sun.com/developer/technicalArticles/Security/ReallySecure/index.html , Jan. 1998.				
<i>MDS</i> AT	Microsoft Corporation, "Microsoft Authenticode Technology. Ensuring Accountability and Authenticity for Software Components on the Internet," Redmond, VA, Oct. 1996.				
<i>MDS</i> AU	"Modern Cable Television Technology: Video, Voice, and Data Communications," Morgan Kaufmann Publishers, Inc., San Francisco, CA, 1999, pp. 743-751.				
AV					
EXAMINER <i>Michael J. Juntala</i> DATE CONSIDERED <i>10/2/03</i>					

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